

06/15/01

JC985 U.S. PTO

06-18-01

A

**UTILITY PATENT  
APPLICATION  
TRANSMITTAL**
*(Only for new nonprovisional  
applications under 37 CFR 1.53(b))*
*Title of Invention*
3'-Prodrugs of 2'-Deoxy- $\beta$ -L-Nucleosides

*Named Inventor(s)*
Jean-Pierre Sommadossi *et al.*
*Attorney Docket*

NOV 1005 US

*Express Mail Label No.*

EL713530743US

JC971 U.S. PTO

06/15/01

**APPLICATION ELEMENTS**
**ADDRESS TO:** Assistant Commissioner of Patents  
Box Patent Application  
Washington, D.C. 20231

**ACCOMPANYING APPLICATION PARTS**

1. ☒ Fee Transmittal Form  
*(Submit an original, and a duplicate for fee processing)*
2. ☒ Applicant claims small entity status. See 37 CFR 1.27.
3. ☒ Specification, Claims,  
and Abstract Total Pages 142
4. ☒ Drawings Total Sheets 13
5. Oath or Declaration Total Pages 155
  - a. ☒ Unexecuted (original or copy)
  - b. ☐ Copy from prior application (37 CFR 1.63(d))  
*(for continuation/divisional with Box 17  
completed)*
    - (i) ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting  
inventor(s) named in the prior  
application, see 37 CFR 1.63(d)(2)  
and 1.33(b).
  - ☐ Application Data Sheet. See 37 CFR 1.76.
  - ☐ CD-ROM or CD-R in duplicate, large table or  
Nucleotide and/or Amino Acid Sequence  
Submission *(if applicable, all necessary)*
    - a. ☐ Computer Readable Form (CRF)
    - b. Specification Sequence Listing on:
      - i. ☐ CD-ROM or CD-R (2 copies); or
      - ii. ☐ paper
    - c. ☐ Statement verifying identity of above copies

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement  
*(when there is an assignee)*
  - ☐ Power of Attorney by assignee
11. ☐ English Translation Document *(if applicable)*
12. ☐ Information Disclosure Statement (IDS)  
PTO-1449
  - ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
*(Should be specifically itemized)*
15. ☐ Certified Copy of Priority Document(s)
16. ☒ Other: Check \$ 919.00

**17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:**
☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: \_\_\_\_\_  
Prior application information: Examiner: \_\_\_\_\_ Group/Art Unit: \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered as being part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**18. CORRESPONDENCE ADDRESS:**

 Sherry M. Knowles, Esq.  
KING & SPALDING  
45<sup>th</sup> Floor  
191 Peachtree Street, N.E.  
Atlanta, Georgia 30303

 By: Sherry Knowles Reg. No. 33,052  
Date: June 15, 2001  
Telephone: 404.572.4600  
Facsimile: 404.572.5145  
Customer No. 20786

 The PTO did not receive the following  
listed item(s), a check for \$919.00  
but we did receive \$490.00

**FEE TRANSMITTAL**Attorney Docket No. NOV 1005 US

This sheet accompanies a patent application transmittal for the following application:

Inventor(s): Jean-Pierre Sommadossi et al.  
Filing Date: June 15, 2001  
Title: 3'-Prodrugs of 2'-Deoxy- $\beta$ -L-Nucleosides

The filing fee is calculated as shown below:

1. **FILING FEE:**

SMALL ENTITY			LARGE ENTITY	
FOR:	FEE	FEE PAID	FEE	FEE PAID
<input checked="" type="checkbox"/> UTILITY FILING FEE	\$355	\$355	\$710	\$
<input type="checkbox"/> DESIGN FILING FEE	\$160		\$320	
<input type="checkbox"/> PLANT FILING FEE	\$245		\$490	
<input type="checkbox"/> REISSUE FILING FEE	\$355		\$710	
<input type="checkbox"/> PROVISIONAL FILING FEE	\$75		\$150	
SUBTOTAL (1)		\$ 355.00		\$

**CLAIMS:**

CLAIMS:

SMALL ENTITY				LARGE ENTITY		
FOR:	NO. FILED	NO. EXTRA	RATE	FEE	RATE	FEE
TOTAL CLAIMS	20 =		x \$9 =	\$ .00	x \$18 =	
ENDEP. CLAIMS	3 =		x \$40 =	\$ .00	x \$80 =	
<input checked="" type="checkbox"/> MULTIPLE DEPENDENT CLAIM PRESENTED			+\$135 =	\$ .00	+\$270 =	
SUBTOTAL (2)				\$135.00		\$

**ADDITIONAL FEES:**

SMALL ENTITY			LARGE ENTITY	
FOR:	FEE	FEE PAID	FEE	FEE PAID
<input type="checkbox"/> LATE FILING, FEE OR OATH	\$65	\$ .00	\$130	
<input type="checkbox"/> NON-ENGLISH SPECIFICATION	\$130		\$130	
<input type="checkbox"/> OTHER				
SUBTOTAL (3)				

**TOTAL FILING FEES:** \$ 490.00A check is enclosed for the total amount: \$ 490.00☐ Charge any additional fees required under 37 C.F.R. 1.16 or 1.17 to Deposit Account 11-0980.

KING &amp; SPALDING

45<sup>th</sup> Floor, 191 Peachtree Street, N.E.

Atlanta, Georgia 30303

Telephone: 404.572.4600

By: Sherry M. KnowlesDate: June 15, 2001

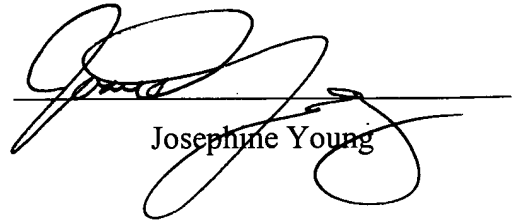
Sherry M. Knowles, Attorney for Applicant

Reg. No. 33,052

## UTILITY PATENT APPLICATION FILING

### Certificate of Mailing Under 37 CFR 1.10

I hereby certify that this Utility Patent Application and Transmittal, along with any documents referred to as attached therein are being deposited with the United States Postal Service on this date, **June 15, 2001** in an envelope as "Express Mail Post Office to Addresses" services under 37 CFR 1.10, Mailing Label Number **EL713530743US** addressed to Box Patent Application, Assistant Commissioner for Patents, Washington, D.C. 20231.

  
Josephine Young

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